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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/601,913	06/23/2003	Patricia Gordon	GP087-04.CN1	8083

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GEN PROBE INCORPORATED  
10210 GENETIC CENTER DRIVE  
Mail Stop #1 / Patent Dept.  
SAN DIEGO, CA 92121

EXAMINER
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FREDMAN, JEFFREY NORMAN

ART UNIT	PAPER NUMBER
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1637

SHORTENED STATUTORY PERIOD OF RESPONSE	NOTIFICATION DATE	DELIVERY MODE
3 MONTHS	02/05/2007	ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Notice of this Office communication was sent electronically on the above-indicated "Notification Date" and has a shortened statutory period for reply of 3 MONTHS from 02/05/2007.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdept@gen-probe.com  
kelleec@gen-probe.com

**Office Action Summary**

Application No.

10/601,913

Applicant(s)

GORDON ET AL.

Examiner

Jeffrey Fredman

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 27 December 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1,2,4,5,8,11,13-15 and 20-30 is/are pending in the application.
- 4a) Of the above claim(s) 11 and 13-15 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1,2,4,5,8 and 20-30 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

**DETAILED ACTION**

***Claim Rejections - 35 USC § 112***

1. The rejection of claims 1, 2, 4, 5 and 8 under 35 U.S.C. 112, first paragraph, is withdrawn in view of the amendment.

***Claim Rejections - 35 USC § 102***

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. The rejection of claims 1, 4 and 5 under 35 U.S.C. 102(a) and (e) as being anticipated by Brennan et al (U.S. Patent 5,474,796) is withdrawn in view of the amendment.
4. Claims 1, 2, 5, 8 and 22 are rejected under 35 U.S.C. 102(e) as being anticipated by Gudibande et al (U.S. Patent 5,597,910).

Gudibande teaches a sequence, SEQ ID NO: 4, which will hybridize to HPV 16 (see column 20) but will not hybridize to HPV 18. SEQ ID NO: 4 has a region of 21 nucleotides of 100% identity with the claimed SEQ ID NO: 5 and is 30 nucleotides in length. The alignment between the sequences is shown below where the claimed SEQ

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ID NO: 5 is the query and the Gudibande SEQ ID NO: 4 is the Sbjct. The sequence of Gubibande consists of a target region which is 100% identical over the overlap region and has "about 10% difference", where the actual difference is 25%, which broadly interpreted is "about 10%" in the absence of any definition of "about".

Score = 41.1 bits (21), Expect = 0.045  
Identities = 21/21 (100%), Gaps = 0/21 (0%)  
Strand=Plus/Plus

```
Query 1          GAACAGCAATACAACAAACCG 21
          |||||
Sbjct 10 ACAACATTAGAACAGCAATACAACAAACCG 30
```

With regard to claim 2, Gudibande teaches formation of a hybrid with a target region (see column 25, example 10, where the oligonucleotide is hybridized to target in a PCR reaction).

With regard to claim 5, Gudibande teaches a HPV 18 probe (see column 20) which has 22 nucleotides of identity with SEQ ID NO: 45. The alignment between the sequences is shown below where the claimed SEQ ID NO: 45 is the query and the Gudibande SEQ ID NO: 6 is the Sbjct. The sequence of Gubibande consists of a target region which is 100% identical over the overlap region and has "about 10% difference", where the actual difference is 29%, which broadly interpreted is "about 10%" in the absence of any definition of "about".

Score = 43.0 bits (22), Expect = 0.018  
Identities = 22/22 (100%), Gaps = 0/22 (0%)  
Strand=Plus/Plus

```
Query 1  GGAAAACTAACTAACTGGG 22
          |||||
```

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Sbjct 9 GGAAAACTAACTAACACTGGG 30

With regard to claim 8, Gudibande further teaches an oligonucleotide which is 30 nucleotides in length and which has 23 nucleotides in common with SEQ ID NO: 121 (see column 20). The alignment between the sequences is shown below where the claimed SEQ ID NO: 121 is the query and the Gudibande SEQ ID NO: 5 is the Sbjct. The sequence of Gubibande consists of a target region which is 100% identical over the overlap region and has "about 10% difference", where the actual difference is 28%, which broadly interpreted is "about 10%" in the absence of any definition of "about".

Score = 44.9 bits (23), Expect = 0.005  
 Identities = 23/23 (100%), Gaps = 0/23 (0%)  
 Strand=Plus/Minus

```
Query 1 TTATTAATAAGGTGCCTGCGGTG 23
      |||||||||||||
Sbjct 23 TTATTAATAAGGTGCCTGCGGTG 1
```

With regard to claim 22, Gudibande teaches labeling probes with reporters (see column 20, where probes are biotinylated, for example).

5. Claims 1 and 2 are rejected under 35 U.S.C. 102(e) as being anticipated by Bouma et al (U.S. Patent 5,484,699).

Bouma teaches a sequence, SEQ ID NO: 95, which will hybridize to HPV 16 (see column 22, example 19) but will not hybridize to HPV 18. SEQ ID NO: 95 has a region of 20 nucleotides of 100% identity with the claimed SEQ ID NO: 5 and is 24 nucleotides in length. The alignment between the sequences is shown below where the claimed SEQ ID NO: 5 is the query and the Bouma SEQ ID NO: 95 is the Sbjct. The sequence

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of Bouma consists of a target region which is 100% identical over the overlap region and has "about 10% difference", where the actual difference is 26%, which broadly interpreted is "about 10%" in the absence of any definition of "about".

Score = 39.1 bits (20), Expect = 0.17  
 Identities = 20/20 (100%), Gaps = 0/20 (0%)  
 Strand=Plus/Plus

```
Query 9  ATACAACAAACCGTTGTGTG 28
        ||||||||||||||||
Sbjct 1  ATACAACAAACCGTTGTGTG 20
```

With regard to claim 2, Bouma teaches formation of a hybrid with a target region (see column 22, example 19, where the oligonucleotide is hybridized to target in an amplification reaction).

### ***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was

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not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

8. Claims 1, 2, 4, 5, 8 and 20-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Brown et al (WO 94/26934) in view of Gudibande (U.S. Patent 5,597,910) and further in view of Hogan et al (U.S. Patent 5,030,557) and further in view of Dopazo et al (J. Virol. Meth. (1993) 41:157-166).

Brown teaches a composition for amplifying an HPV Type 16 target nucleic acid comprising an amplification oligonucleotide and a polymerase where the amplification oligonucleotide also comprises a 5' promoter sequence. Specifically, SEQ ID NO: 27 (HPV 120) meets the oligonucleotide test (page 11, lines 25-27) and the composition is taught on pages 12-13, where the oligonucleotide is combined with reagents including AMV-RT and T7 RNA polymerase, both of which are nucleic acid polymerases. Brown expressly teaches formation of kits (page 4, lines 23-24).

Brown does not teach the specific probes of SEQ ID NOs: 1, 5, 45, 85 and 121 but does teach sequences comprising these probe sequences. Brown does not teach the use of helper probes.

With regard to claims 20-30, Brown teaches a sequence comprising SEQ ID NO: 1 beginning on page 25, line 29, nucleotide 26. Brown teaches a sequence comprising SEQ ID NO: 5 beginning on page 25, line 36, nucleotide 16. Brown teaches a sequence comprising SEQ ID NO: 45 beginning on page 27, line 48, last nucleotide in line. Brown teaches a sequence comprising SEQ ID NO: 85 beginning on page 25, line

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43, nucleotide 339 in the reverse orientation. Brown teaches a sequence comprising SEQ ID NO: 121 beginning on page 27, line 53, nucleotide 31.

Gudibande directs the ordinary practitioner to probes that overlap with those claimed.

With regard to claims 20, 21, 23, 24, 26, 27, 29 and 30, Gudibande teaches a sequence, SEQ ID NO: 4, which will hybridize to HPV 16 (see column 20) but will not hybridize to HPV 18. SEQ ID NO: 4 has a region of 21 nucleotides of 100% identity with the claimed SEQ ID NO: 5 and is 30 nucleotides in length. The alignment between the sequences is shown below where the claimed SEQ ID NO: 5 is the query and the Gudibande SEQ ID NO: 4 is the Sbjct. The sequence of Gudibande consists of a target region which is 100% identical over the overlap region and has "about 10% difference", where the actual difference is 25%, which broadly interpreted is "about 10%" in the absence of any definition of "about".

Score = 41.1 bits (21), Expect = 0.045  
Identities = 21/21 (100%), Gaps = 0/21 (0%)  
Strand=Plus/Plus

```
Query 1          GAACAGCAATACAACAAACCG 21
          |||||||||||||||||||||
Sbjct 10 ACAACATTAGAACAGCAATACAACAAACCG 30
```

With regard to claim 2, Gudibande teaches formation of a hybrid with a target region (see column 25, example 10, where the oligonucleotide is hybridized to target in a PCR reaction).



With regard to claims 5, 26, 27, 29 and 30, Gudibande teaches a HPV 18 probe (see column 20) which has 22 nucleotides of identity with SEQ ID NO: 45. The alignment between the sequences is shown below where the claimed SEQ ID NO: 45 is the query and the Gudibande SEQ ID NO: 6 is the Sbjct. The sequence of Gubibande consists of a target region which is 100% identical over the overlap region and has "about 10% difference", where the actual difference is 29%, which broadly interpreted is "about 10%" in the absence of any definition of "about".

Score = 43.0 bits (22), Expect = 0.018  
Identities = 22/22 (100%), Gaps = 0/22 (0%)  
Strand=Plus/Plus

```
Query 1  GGAAAACTAACTAACACTGGG 22
          ||||||||||||||||
Sbjct 9  GGAAAACTAACTAACACTGGG 30
```

With regard to claims 8, 29, Gudibande further teaches an oligonucleotide which is 30 nucleotides in length and which has 23 nucleotides in common with SEQ ID NO: 121 (see column 20). The alignment between the sequences is shown below where the claimed SEQ ID NO: 121 is the query and the Gudibande SEQ ID NO: 5 is the Sbjct. The sequence of Gubibande consists of a target region which is 100% identical over the overlap region and has "about 10% difference", where the actual difference is 28%, which broadly interpreted is "about 10%" in the absence of any definition of "about".

Score = 44.9 bits (23), Expect = 0.005  
Identities = 23/23 (100%), Gaps = 0/23 (0%)  
Strand=Plus/Minus

```
Query 1  TTATTAATAAGGTGCCTGCGGTG 23
```

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Sbjct 23      |||||  
                 TTATTAATAAGGTGCCTGCGGTG 1

With regard to claim 22, Gudibande teaches labeling probes with reporters (see column 20, where probes are biotinylated, for example).

Hogan teaches methods for enhancing hybridization including the use of helper probes (column 4, lines 44-68). Hogan also teaches requirements for helper probes (columns 5 and 6).

Dopazo evidences that the ordinary practitioner, in 1993, had access to Dopazo's computer program (which was one of many free and commercially available primer selection programs) ("We describe a computer program, available upon request (see page 158).") Further, Dopazo evidences the ability of the computer to select any primers which are common to a group of sequences but to exclude primers which are non-specific as desired (see page 159, last paragraph to page 160, first paragraph).

Dopazo specifically suggests selection of primers in HPV (see page 157). An ordinary practitioner, motivated by Brown to select primers to detect HPV 16 or 18, would have been able to utilize the available computer program of Dopazo to select primers that were species specific, of which SEQ ID Nos: 1, 5, 45, 85 and 121 are simply structural equivalents in that set of primers and therefore prima facie obvious under Deuel.

Further, the precise locations of the primers would have been suggested by Gudibande, who teaches primers which nearly completely overlap each of the claimed

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primers. This significantly narrows the primer regions that must be selected, since selection of primers based upon Gudibande would be particularly *prima facie* obvious.

It would have been *prima facie* obvious to one having ordinary skill in the art at the time the invention was made to utilize the type specific selection procedure of Brown for selection of additional sequences from the disclosed sequence of Brown. Brown expressly notes that "Primer selection for high level amplification is basically a directed trial and error process. To define a first set of primers a span of 400 bases (with beginning and ending sites outside the spliced region) was selected by designating the first 10-30 nucleotides at the 5' end of the E6 gene beginning with the ATG codon and counting off 400 bases, then selected as primers the next 10-30 bases. Note that for each pair, at least one of the primers must contain a promoter for transcription. (page 12, lines 8-14)". Brown further notes that "The primer pairs are tested for their amplification efficiency. To optimize, the second primer position is held stationary and the first primer is moved arbitrarily 20 bases toward the second (thereby decreasing the interprimer span, e.g. the bases between the position of the 3' end of the first primer and the 5' end of the second primer, by 20 bases to 380 bases). Fine tuning is accomplished by walking the primers from the best pairings by 2-5 base jumps (page 12, lines 18-24)". An ordinary practitioner would have been motivated to optimize the primers of Brown as taught by Brown for the benefits of type specific selection of HPV as taught by Brown (abstract)". An ordinary practitioner would have found all of the claimed primers functionally and structurally identical.

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It would have been *prima facie* obvious to one having ordinary skill in the art at the time the invention was made to combine the Brown hybridization method for detection of HPV with the helper probe methods of Hogan since Hogan teaches that "Thus, by using a properly selected helper oligonucleotide, the rate of hybridization between the probe and its complementary sequence in the targeted nucleic acid can be substantially increased and even permit hybridization to occur at a rate and under conditions otherwise adequate for an assay where, without the use of the helper, no substantial hybridization can occur.(column 4, lines 36-43)." Hogan explicitly states that the helper probe need not be targeted at a unique sequence (column 7, lines 40-42). An ordinary practitioner would have been motivated to add the use of a helper probe in order to increase the rate of hybridization.

In the recent court decision *In Re Deuel* 34 USPQ 2d 1210 (Fed. Cir. 1995), the Court of Appeals for the Federal Circuit determined that the existence of a general method of identifying a specific DNA does not make the specific DNA obvious.

Regarding structural or functional homologs, however, the Court stated,

"Normally, a *prima facie* case of obviousness is based upon structural similarity, i.e., an established structural relationship between a prior art compound and the claimed compound. Structural relationships may provide the requisite motivation or suggestion to modify known compounds to obtain new compounds. For example, a prior art compound may suggest its homologs because homologs often have similar properties and therefore chemists of ordinary skill would ordinarily contemplate making them to try to obtain compounds with improved properties (see page 9, paragraph 4 of attached ref)."

Since the claimed primers and probes simply represent structural homologs, which are derived from sequences suggested by the prior art as useful for primers and probes for the detection of HPV, and in particular for diagnosis of the presence of the

hepatitis virus strains 16 and 18, and concerning which a biochemist of ordinary skill would attempt to obtain alternate compounds with improved properties, the claimed primers and probes are *prima facie* obvious over the cited references in the absence of secondary considerations.

### ***Response to Arguments***

9. Applicant's arguments filed December 27, 2006 have been fully considered but they are not persuasive.

Applicant argues that the amendment sufficed to overcome all of the prior art rejections. As noted above, this argument is not found persuasive and the listed rejections are maintained.

### ***Conclusion***

10. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

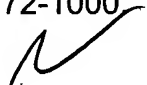
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the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jeffrey Fredman whose telephone number is (571)272-0742. The examiner can normally be reached on 6:30-3:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Benzion can be reached on (571)272-0782. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

  
Jeffrey Fredman  
Primary Examiner  
Art Unit 1637

1/2/07